MODEL BASED PERFORMANCE TESTING OF DISTRIBUTED LARGE SCALE SYSTEMS

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Dedicated to my beloved wife Hande, my lovely son Arda, my parents and my brother.
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CHAPTER I

INTRODUCTION

Size and complexity of software systems are increasing and there is increasing demand for component based distributed applications and systems. Performance characteristics such as throughput and scalability are crucial quality attributes of such systems. For this reason, it is very critical to validate that the system satisfies the performance requirements. Performance testing is a way to evaluate the design of the system with respect to performance requirements.

IEEE Standard Glossary of Software Engineering Terminology defines performance testing as “testing conducted to evaluate the compliance of a system or component with specified performance requirements” [3]. This definition will be taken as the working definition in the scope of this thesis. In [4], Weyuker and Volokos list possible goals for performance testing as follows:

1. “the design of test case selection or generation strategies specifically intended to test for performance criteria rather than functional correctness criteria.”

2. “the definition of metrics to assess the comprehensiveness of a performance test case selection algorithm relative to a given program.”
3. “the definition of metrics to compare the effectiveness of different performance testing strategies relative to a given program.”

4. “the definition of relations to compare the relative effectiveness of different performance testing strategies in general.”

5. “the comparison of different hardware platforms or architectures for a given application.”

The notion of performance testing in this dissertation is the first of these goals. An approach that focuses on generating performance test cases that can be used to exercise the system will be described in the upcoming chapters.

Distributed systems are generally built on top of middleware services. Middleware services are general-purpose services that are positioned between applications and the operating system (OS) and implement low level OS and hardware application programming interfaces (APIs) [1].

Primary goal of middleware is to provide the means for applications to connect and interact with each other and the underlying platform. The underlying platform is OS, network protocols, and hardware that it runs on. Furthermore, middleware aims to make the integration of heterogeneous applications easier [5]. Middleware is often component based. Components that implement platform APIs to facilitate communications, memory management, event notifications, etc. can be middleware services [1]. Such components that are middleware services hide implementation details of the underlying platform from the applications.
Large scale distributed systems often have stringent performance requirements. Throughput, latency, scalability are important performance metrics for such systems [4, 6]. For this reason, performance testing plays an important role in middleware based distributed systems.

In this thesis, it will be recognized that there is a need for a way to characterize and capture performance characteristics of components and the component model in a distributed system so that the effect of complex component interactions on system performance can be explored. In order to be able to test the performance of the system by taking into account the couplings of components and middleware, component interactions should precisely be understood and captured from a performance perspective in a component oriented performance model. An approach focusing on this need will be presented in the following chapters.
In Chapter II, the approach will be explained in detail. Chapter III will present a brief background on Open DEVS modeling formalism followed by Chapter IV which give the background on CMS DAQ system that will be used for implementing the approach. Chapter V will dive into the details of implementation of the approach on the CMS DAQ system along with results and analysis. Finally, Chapter VI will summarize the approach and implementation along with ideas on how the approach can be improved by future work.
A METHOD FOR PERFORMANCE TESTING DISTRIBUTED MIDDLEWARE BASED SYSTEMS

In this chapter a method to help build a distributed middleware based system, capture its performance characteristics and perform performance testing and/or performance engineering on it will be introduced. The method consists of creating a domain specific modeling language for capturing the structure and performance characteristics of the system, and creating a discrete event based system model to capture the behavior of the system.

The domain specific modeling language is created by using the concepts and tools introduced by Model Integrated Computing (MIC) [7]. A brief background on MIC will be given in the following sections in this chapter. The behavioral model is created by Discrete Event System Specification (DEVS) which is a modeling and analysis formalism for discrete event systems [8]. A background of DEVS is given in Chapter III.

Challenges

In Chapter I it was mentioned that large scale distributed middleware based systems generally have stringent performance requirements and that performance testing plays an important role in middleware based distributed systems.
Middleware platform provides services such as transactions, and remote communication which affect the performance of a system in a major way. The role of middleware often makes it the entity that is most influential on the overall performance of the system [9]. Although the major effect of middleware on the whole system performance cannot be denied, it is also important to consider the relationships of the applications with each other and the middleware services when performance testing a system. This requires detailed understanding of these interactions and the ability to create the conditions to properly test those interactions.

It is also important to take into account the context of the middleware since it may behave differently in the context of different applications [10, 11]. For example, if middleware hosts mostly applications that use its event management services to pull event status information periodically, there will not be many frequent and complex interactions between applications and the middleware. Thus, the middleware may perform very well. On the other hand, if there are many applications that are constantly using communication services of a middleware to perform operations on the underlying OS and/or hardware layer, middleware performance will be different. Tight coupling between the applications and the middleware services will potentially cause complex component interactions. Those complex interactions will potentially affect the performance of the system. As applications cannot be executed without the underlying middleware services, it is not sufficient to perform performance testing on the applications in isolation in order to understand
the performance of the system. Likewise, performance testing middleware in isolation would not be enough because coupling with the applications that use its services is too important to ignore.

A typical performance testing goal is to test a system under various workloads in order to evaluate how the system will perform when deployed. For example, when performance testing a large industrial client/server transaction processing system, a real challenge is to determine what a representative workload is [4]. In addition, it is also identified in [4] that lack of earlier version of a system presents a challenge in coming up with a representative workload. Another interesting challenge identified in [4] is how to measure and interpret the observations. This is interesting because the implication is that selecting what to measure and how to measure for performance testing may affect the objectivity of performance results.

An aspect of testing a distributed middleware based system and its components is creating many configurations that would configure functional operation of components as well as their deployment in the cluster. The configurations are usually described by XML. It is cumbersome and inefficient for test engineers to write XML test configurations by hand as the tester would be making many copy-paste operations which can introduce errors into the process. Moreover, the configuration space of the control and deployment parameters of applications within the framework is sufficiently large; there is no way for the tester to manually create configurations for all possible combinations of parameters. Last but not the least, it would be very time
consuming to scale up and modify a manually written XML test configuration in response to changes in hardware resources or other test criteria.

In the following section, an approach based on model based testing and test generation will be described.

A Model Based Approach

In the previous section, several challenges for performance testing a distributed middleware based system was given. As a result of a literature review on the subject matter [12], the following observation was made: *There is a need for a way to characterize and capture performance characteristics of components and the component model in a distributed system. Such a model would help explore the effect of complex component interactions on system performance. In order to be able to test the performance of the system by taking into account the couplings of components and middleware, component interactions should precisely be understood and captured from a performance perspective in a component oriented performance model. Such a performance model can be used to automatically generate executable performance test cases.*

A systematic modeling approach for characterizing and capturing distributed system components’ and underlying middleware’s performance properties can be used to tackle the challenges described above. The systematic
modeling can also be used to investigate the effects of different application-application and application-middleware interactions on the performance of the system. A domain specific modeling approach will be used for the following reasons:

- Domain of middleware based distributed systems is a well known and studied domain, and it is possible to come up with a domain specific modeling language.

- A domain specific modeling language is a manageable solution compared to a general purpose solution since it’s tailored to the specific domain.

- A model based approach enables including performance testing at an earlier point in the development life cycle. Models of a system can be created and performance characteristics of a system can be captured in models during as early as requirement/specification phases.

- A model based approach is flexible to changes introduced to the system. When a behavioral or structural change is introduced to the, it can be reflected on the models. Similarly, if performance characteristics are changed, they can be easily reflected on the models.

The systematic modeling approach which is described in this chapter uses a two layered modeling approach. One layer of modeling is done using a model based design methodology called Model Integrated Computing (MIC)
The second layer of modeling is done using the Discrete Event System (DEVS) Specification modeling and analysis formalism for discrete event systems which is described in Chapter III.

As a model based design methodology, MIC provides a scalable methodology for system design and analysis based on sound system theory and abstraction by integrating the efforts in system specification, design, synthesis, validation, verification and design evolution. MIC brings in key concepts of domain modeling to the paradigm of model driven system development. A key capability supported by MIC is the definition and implementation of domain-specific modeling languages (DSMLs). Crucial to the success of DSMLs is metamodeling and auto-generation. A metamodel defines the elements of a DSML, which is tailored to a particular domain. The modeling language which is used to construct metamodels is known as a metamodeling language. Auto-generation involves automatically synthesizing useful artifacts from models, thereby relieving DSML users from the specifics of the artifacts themselves, including their format, syntax, or semantics.

MIC methodology is found to be suitable for carrying out the modeling task. The properties of the MIC methodology provides a strong means to tackle challenges mentioned above. Using MIC and its accompanying tool Generic Modeling Environment [14] enables the creation of a DSML targeted for distributed middleware based systems and enables incorporating performance testing aspects. Furthermore, auto-generation capabilities of GME enables synthesis of series of configurations and tests. On the other hand,
DEVS modeling formalism enables modeling the behavior of MIC model components and provides an event-based simulation engine for easily observing the effect of changes in behavior in the performance of the system.

The modeling methodologies will enable modeling of the following about the system:

- MIC will allow capturing data flow and deployment information about the system. This involves modeling the middleware component, applications, resources and their connections to capture how data flows in the system and how they are deployed.

- MIC will allow modeling performance characteristics of the system in addition to data flow and deployment. This involves modeling the parts of the system which will guide the test case selection and generation strategies.

- DEVS will allow modeling behavior of middleware and applications. This involves determining different states and state transition conditions of the middleware and applications.

The following steps are involved in using the model-based approach that is described in this chapter:

- Identify the applications (including the middleware) of the system and their configuration parameters

- Identify the relationships and interactions between applications
• Identify the structure and data flow in the system

• Identify the behavior of each application in the system

• Identify the physical (processor, network card) and logical (ports) resources that will be needed in the system

• Model identified applications, their relationships, and resources using the Test Series Definition Modeling Language (TSDML)

• Model the behavior of the applications and the event based data flow using DEVS modeling formalism

• Identify performance metrics for the applications and the system

• Configure the DEVS behavioral model with the information captured in TSDML

• Run the DEVS simulator to collect performance results

• Alternatively, run the system with the configuration generated from the TSDML

In the following section, the domain specific modeling language called Test Series Definition Modeling Language (TSDML) will be described in detail.

Test Series Definition Modeling Language

Test Series Definition Modeling Language (TSDML) is a domain specific modeling language designed to model distributed component based systems
from a performance testing point of view. TSDML aims to make it easier to capture the structure and interaction of components along with the performance characteristics of the system.

The TSDML has the following high level properties:

- Define application types
- Define the connection association between applications by connection rules
- Define association rules between applications and contexts
- Define association rules between connections and logical networks
- Define association rules between context and hosts
- Define replication factors for the types and connections
- Form a template test case from the modeled applications, connections, and resources
- Define the scope of the test series

In the following sections details of the modeling process and abstraction levels for these aspects will be described.

**Modeling Application Types**

An important advantage of using a MIC model based methodology is the ability to view the system to be modeled from different aspects and
enable separation of design concerns. Aspects help define visibility of different parts of the model by grouping. An aspect is defined when a group of parts of a model are made visible in that aspect [15]. Modeling a system from different aspects means making different parts of a model visible in different aspects. For example, a model may have a data flow aspect which has parts like components, ports and connections as visible. A model may also have a deployment aspect which has parts like processors, computers, network switches as visible.

From this perspective TSDML defines two different aspects for modeling an application (type): Data Flow Aspect and Test Definition Aspect.

From the Data Flow Aspect an application is modeled to contain Parameters, Input Data Port, Output Data Port, and Bidirectional Data Port. From the Test Definition Aspect an application is modeled to contain Sweeper (see Subsection II), Negative Probe, Positive Probe and reference to Iterator (see Subsection II). The application metamodel is shown in Figure 2. A metamodel is a UML class diagram, representing the abstract concepts, relationships, and attributes used in a DSML. For more details please see [16].

The Data Flow Aspect lays out the data ports that the application has and the parameters to configure the application. The data ports can be input only, output only and bidirectional. The application has the following attributes:
Figure 2: Application metamodel in GME

- **MonitorPerformance**: Boolean flag to denote whether the performance of the application needs to be monitored
- **ApplicationID**: Unique identification number of the application
- **ApplicationClassName**: Class name of the application’s implementation
- **MinimumExecutionTime**: Minimum execution time of the application
- **MaximumExecutionTime**: Maximum execution time of the application

The parameters of the application have the following properties:

- **ParameterValue**: Value of the application parameter
• **ParameterType**: Basic type of the application parameter (e.g. double, int)

• **IsConfigurable**: Boolean flag to denote if the parameter is a configurable parameter

• **IsTestParameter**: Boolean flag to denote if the parameter is a test parameter

The Test Definition Aspect provides Sweeper to vary the values of test parameters of an application and Negative Probe and Positive Probe to attach performance measurement points. These will be explained in detail later.

Sweeper is an important element in TSDML which has the following attributes:

• **Function Type**: Internal function or look-up table. Internal function is a function of test series iterator whereas the look-up table may have specific values that an application can take.

• **Function**: The definition of the function.

Sweeper is attached to an application parameter and varies the value of the parameter based on the function provided in its attribute. A new value for an application parameter is used in each test case that will be generated from the model.

Figure 3 shows the Data Flow and Figure 4 shows the Test Series Definition aspect of sample application model.
Figure 3: Data Flow Aspect of a Sample Application Model

Figure 4: Test Series Definition Aspect of a Sample Application Model
In the Data Flow aspect seen in Figure 3, parts of the application model that are related to data flow are visible. These are parameters, and data ports. In the specific model shown in the figure, the application is modeled to have four parameters, namely, \texttt{blockFIFOCapacity}, \texttt{RU\_SEND\_PACKING}, and \texttt{requestFIFOCapacity}, \texttt{EVM\_ALLOCATE\_CLEAR\_PACKING}. In addition, the application is modeled to have three bi-directional ports connecting it to other applications.

In the Test Series Definition aspect seen in Figure 4, parts of the application model that are related to test series definition are visible. These are parameters, Sweeper, reference to the iterator, negative and positive probe points. In the specific model shown in the figure, the application is modeled such that the \texttt{RU\_SEND\_PACKING} parameter is attached to a Sweeper which means that the value of that parameter will be varied in each iteration. Positive and negative probes of the application are the points where performance probes will be connected. Performance probes will be explained shortly.

Modeling application types in this manner tackles several challenges mentioned in the previous sections. This approach treats both the middleware and its applications as application types and enables modeling and configuration of them separately. For this reason, it will be possible to consider not only middleware-application relationships but also application-application relationships. In addition, it’ll be possible to identify the couplings between middleware and applications that use its services.
Modeling Resources and Resource Configurations

TSDML includes a way to model the resources to be used to deploy the system. Two main parts are the resource library and the resource configurations. TSDML has been constructed such that resource library collects models of the resources that can be used in a resource configuration. Resource configurations use references to resource models in the library to define specific configurations.

A resource is described as a *Node* in TSDML. TSDML uses the following entities and their attributes to define a node:

- **Network Card (NIC)**
  - IP Address
  - Network Type (e.g. Gigabit, Infiniband, etc.)

- **Processor**
  - IP Address

Resource configuration model is described by a reference to a node that is created in the resource library model. The main point of a resource configuration model is to define the connections between the nodes. A connection between nodes is made through the *Network* entity. TSDML uses the following entities and their attributes to define a resource configuration:

- **Node Reference**: Reference to a node created in resource library
Network

Network Type (e.g. Gigabit, Infiniband, etc.)

Resources and resource configurations are modeled on a high level of abstraction by hiding many details. For example, a node is modeled as a box containing only a network card and processor and hides many details of the network card and the processor and many internal connections. Similarly, model of a resource configuration hides the details of how the connection between nodes is implemented. However, these models can easily be extended to drill down to the details of resources and resource management.
Parameterizing the Model

As mentioned previously, it is desired that the TSDML model should be parametrized in order to be able to generate series of test cases from a single model. The parameterization is achieved by using “Iterator” and “Replicator” entities in the system model.

**Iterators** are used to define the series $i, j, k \ldots$ for the notions of *start*, *step*, *stop*. There can be many iterators in a test series definition.

**Replicators** are used to define the replication factor for the attached object. The replication factor determines how many of the object to which the replicator is attached to will be generated when the model is interpreted. Replicators are functions of iterators as in $r = K \times si$. There can be many replicators in a test series definition. Replicators must be attached to an iterator in the model since they are functions of iterators. They must also be attached to an application, network or context entity.
Figure 7: Use of iterators and replicators in the model

Figure 7 shows a possible example use of replicators and iterators in the model to generate multiple instances of two different application models.

In the example, it is assumed that $i = 1 : 1 : 2$ and $j = 1 : 1 : 4$ and $r_1 = 2$ and $r_2 = 4$. By design, iterators function as the outer loop whereas the replicators function as inner loops. In this case, the table in Figure 7 shows the total number of test cases that will be generated and the numbers of App1 and App2 instances in those test cases. In this example, total of 8 cases will be generated and number of App1 instances will change between 2 and 4 and the number of App2 instances will change between 4 and 16.

Iterators and connectors also function similarly when there is a connector between applications. Figure 8 shows an example of such a situation.
Figure 8: Use of iterators and replicators with connectors

\[ l = 1:1:2, j = 1:1:4, \]
\[ r_1 = 2, r_2 = 3 \]
\[ CR = \text{src} = \text{floor}(\text{dst}-1)/(j/(r_2/r_1)) + 1 \]

Figure 9: Resulting Configuration for Example 2
As described above, since connectors are boolean functions of iterators and replicators, it is possible to define the connection relation between applications with respect to the iterators and replicators to which the applications are attached to. In the example shown in Figure 8, iterator $i$ is defined as $i = 1 : 1 : 2$ and iterator $j$ is defined as $j = 1 : 1 : 4$ and replicators $r1$ and $r2$ are defined as 2 and 3 respectively. The connection relation between applications is defined as the boolean function $src = \text{floor}((dst - 1)/(j \times r2/i \times r1)) + 1$. As can be seen in the figure, App1 is the source (src) and App2 is the destination (dst).

In order to fully understand what type of a model this example will lead to, we should first consider the iteration and replication of the applications. This example shares the same configuration for applications as shown in Figure 7. That is, there will be a total of 8 test cases. For the first test case, there will be 2 instances of App1 and 4 instances of App2. The connection between these applications is determined by the connection relation and results in the configuration shown in Figure 9.

Modeling iterators and replicators as part of the TSDML aims to tackle the challenge of writing and managing many test configurations. By using iterators and replicators and taking advantage of auto generation capabilities of the modeling approach, a test engineer will be able to create and control many test cases with minimal effort.
The concept of iterators and replicators easily and conveniently achieve the parameterization goal of the TSDML and enable creation of series of test cases from the single test template model.

**Modeling Input Generator**

Input Generator is an important entity in the TSDML. It is not necessarily part of the overall system however it is crucial to model the input to the system for testing purposes.

In TSDML, input generator is modeled as a construct containing some parameters. The input generator is assumed to be used for generating events for the event based system. The following parameters make up the input generator:

- Input Generator Parameter: Any parameter that may relate to modeling an input generator (e.g. mean, sigma, etc.)

- Random Distribution: Enumeration to model the type of distribution (e.g. Lognormal, normal, exponential, etc.)

- Parameter Sweeper: Similar to application types, a sweeper can be connected to parameters to vary the values of parameters for each test

- Iterator Reference: Reference to the iterator used for test series definition.
Most important part of the input generator model is parameter sweeper. It is the same sweeper that is used for varying values of application parameters. By connecting the iterator reference to a sweeper, values of input generator can be varied for each test case to be able to test the system against varying input data.

Based on the design of the system, the input generator can be connected to any application which accepts the input data and is the trigger for the operation of the system. Figure 10 shows the Input Generator portion of the TSDML metamodel.

![Figure 10: Input Generator Meta Model](image)

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Figure 11: Test Series Definition Meta Model
Modeling Test Series Definitions

Test series definition models bring together all the entities of the TSDML from a test generation perspective and enables generation of series of test cases utilizing model parametrization described in the previous subsection.

An important advantage of using MIC model based methodology is the ability to view the system to be modeled from different aspects and enable separation of design concerns. From this perspective TSDML defines three different aspects: Test Series Definition Aspect, Deployment Aspect, Performance Aspect. All there aspects of modeling a test series definition will be explained in detail.

The **Test Series Definition Aspect** includes all the modeling elements that were described in the previous subsections. Test Series Definition aspect acts like a design surface for designing series of test cases. It contains the following modeling elements:

- Application Reference
- Iterator
- Replicator
- Connector
- Input Generator
- Test entity
• Connections between these entities

References to application types and connectors that connect the applications make up the data flow among the applications. In order to create a test series definition not all application types need to be present. Different test series definitions with different applications and with same applications and different parameter values can be modeled since test series definitions are collected under a folder structure and lead to different set of test cases.

Iterators and replicators are the entities which define the scope of the series and add parameterization to the test series definition model. As described in "Parameterizing the Model", a replicator enables using a single application type and generate multiple application instances during test case generation. By use of replicators and iterators, it is possible to easily create a template of an application to be replicated at each step of test case generation.

Connector defines the relationship and data flow between the applications. When a connector entity is used to connect ports of two applications, it denotes that there is a data flow between those applications. As explained before, it is also a very powerful entity with its ConnectionRule property which is a function of the iterator. Making connections this way enables variations on the application structure that is to be tested.

The InputGenerator entity supplies the test data to the system to drive the test run. It can be connected to the applications which are expecting data to be enabled.
The Test entity is a placeholder entity which captures the general information about the test that is being designed. The information captured is used to store the results of the test run appropriately in a database or test log. The primary attributes of the Test entity are the Comment and Database fields. The Comment field is used to give a brief description of the test series being designed. The Database field is used to capture the name of the database that the test results will be saved to.

A test series definition is obtained when all these entities are connected to each other appropriately. The number of test cases that will be generated from one test series definition is based on the value of the iterator.

The Test Series Definition aspect provides the solution for the challenge of manually creating several XML test configurations and makes the process easier to scale and less time consuming. In addition, by bringing together the pieces that are mentioned in the previous sections, this view makes it possible to span a considerable portion of the configuration space of parameter applications. This is made possible by being able to change application parameter values by means of Sweepers and control this change by iterators and replicators for each test case.

The Deployment Aspect includes modeling entities that can be used to devise different deployment scenarios for the system. The following entities can be used in this aspect:

- Application (Reference)
• Resource (Reference)

• Middleware

• Port

Any common entities across aspects are carried over to the respective aspect. For this reason, the application reference is the same as the one used in the Test Series Definition aspect. The difference across different aspects is the perspective those entities are being looked at. While in the Test Series Definition aspect, the application reference was viewed from the perspective of creating a test series definition with multiple instances of applications generated automatically. In this aspect, the applications are viewed from a deployment perspective. The connections that are to and/or from an application reference are related to the deployment aspect of the system.

Resource reference is a reference to any resource that is modeled in the Resources and Resource Configurations. Deployment aspect is the only place where a resource can be utilized because it’s inherently related to deployment. From the testing perspective, having a resource model in this view makes it possible for a test designer to deploy a system on various resources and devise several test cases.

Another entity in the Deployment aspect is Middleware. It is a key entity for deployment because applications cannot run in absence of middleware and have to be deployed in a middleware instance. Technically, middleware is no different than an application, it’s defined and modeled with application types.
However, it’s special in the sense that can contain other application types. As applications can be deployed on middleware, middleware is deployed on resources on specific ports.

Port, as the name suggests, is a logical entity used to define endpoint for the application on the resource that it is deployed to. It is used to connect middleware to a resource. Port has the following attributes:

- **Port Type**: Type of port (e.g. TCP/IP, SOAP, etc.)
- **Port Value**: Value of port (e.g. 8080, 4000, etc.)

**The Performance Aspect** includes entities related to capturing performance information about the system. The main entity of this aspect is the Performance Probe. It’s designed to be analogous to a voltmeter or ammeter used to measure electric voltage and current in electronic circuits. In this manner, a performance probe is connected to positive and negative endpoints and measures a performance metric between those points.

A performance probe has one attribute:

- **Metric**: It’s an enumeration of possible performance metrics to be measured (e.g. throughput, latency, bandwidth).

For example, when a performance probe is connected between the negative probe end of App1 and positive probe end of App2 and its metric is set as Throughput, it means that throughput between App2 and App1 will be measured.
All the aspects of the Test Series Definition make up the main parts of the TSDML. Test series definition uses the modeling constructs defined elsewhere to model a system from test, deployment and performance points of views.

Modeling Behavior with DEVS

The Test Series Definition Modeling Language makes it possible to model the system from various perspectives. It is a graphical domain specific language that can be used to capture structure and data flow of a system from a higher level of abstraction. TSDML also enables the design of a system from the testing perspective.

In model driven engineering, the crucial step after modeling a component or a system is to be able to interpret the meaning of the abstractions in the model. The artifact of such interpretation can be a design document, source code, etc. In the case of the approach described in this chapter and the TSDML, the desired artifact is several test cases (e.g. in the form of XML configurations) that can be executed on the real implemented system.

In some cases, the real implementation of the system modeled by TSDML may not be available. Moreover, it may not always be feasible to execute the test cases on the real system. An implementation of the system may not yet be available or it may be costly to run unpredictable tests on the real implementation or replicate the real system setup for testing purposes. In such cases, it is important to be able to model the behavior of the system as well.
In the approach described in this chapter, the behavior model of the applications of the system is created using the Open DEVS modeling formalism. A background on Open DEVS is given in Chapter III.

DEVS modeling formalism and the underlying simulation framework enables the execution of test cases generated from TSDML on a simulated system. In order to achieve that, each application type that is modeled using TSDML should have a corresponding DEVS behavior model. An application is modeled using DEVS as described in Chapter III. The main aspect of this process is correctly determining:

- States of the application
- Input and output events of the application
- Input and output connections/ports of the application

Since DEVS is an event based framework, it is possible model the data flow and interactions among applications. In a middleware based system, it is particularly important to determine interactions between applications. It is possible with DEVS to model the application interaction in such a way that no application can talk to each other without going through middleware.

An example implementation of a DEVS model will be given in Chapter V.
In Chapter I, it was stated that the scope of the approach described in this thesis is within the boundaries of designing “test case selection or generation strategies specifically intended to test for performance criteria rather than functional correctness criteria”.

Although the description and the goal is pretty clear and easy to understand, some questions and details are hidden below the surface. Functional requirements define how the system is supposed to behave whereas non-functional requirements define the expected operation of the system beyond the functional behavior, e.g. response time. Non-functional requirements are harder to gather and define than functional requirements. Similar difficulty exists in testing non-functional requirements [17]. The difficulty generally stems from the nature of non-functional requirements being frequently observed and evaluated subjectively. Performance is such a non-functional system requirement. Non-functional requirements like performance are usually evaluated, analyzed or even predicted during design time and rarely monitored and tested during run-time.

The integration of performance analysis with the engineering process is commonly called as performance engineering. The first approach to integrating performance analysis with development cycle early on has been the Software Performance Engineering (SPE) methodology. SPE was first introduced by Smith in her seminal work in early 90s [18]. The goal of SPE
is to provide guidelines for performance modeling throughout the software development cycle [19].

In the core of the SPE methodology, there is the domain analysis and object-oriented development. The models that are created as a result of the domain analysis are used to predict the performance of software systems in an early stage. The performance model to be used to implement SPE methodology depends on the purpose of the analysis. Smith lists three analysis strategies that guide the model selection [19]:

- **Adapt-to-precision strategy**: Availability of system information knowledge directs the modeling effort. Using easy to construct models is suggested.

- **Simple-to-realistic strategy**: Abstracting away details initially and then adding more details incrementally as the system evolves is suggested.

- **Best-and-worst-case strategy**: In the early stages of software development, the input data is rarely complete and precise. Thus, investigating performance bounds with best-case and worst-case data sets is suggested.

The main elements of the SPE methodology are Software Execution Models and System Execution Models. First, the important aspects of the software performance behavior is modeled with execution graphs [18] to form the Software Execution Models. The execution graphs are then used to generate
parameters for the System Execution Model. The system execution model includes information regarding the hardware resources including queue-servers and their possible connections throughout the system. Execution scenarios are formed from these possible connections which form the model workloads. System execution models are analyzed [20] and the solution results in mean-value results. The mean-value results are checked against performance goals and if the performance is not satisfactory, system designers turn back to models to work on more advanced system execution models [19].

In the previous sections, an approach for modeling a system’s behavior and structure from performance perspective was described. The approach described enabled generation of many test cases from TSDML models to be executed on a discrete-event DEVS simulation engine running behavioral models of the system. There are two paths that can be taken from the model level to the system level:

- Test cases may be executed on the real system (Figure 12). Running test cases on the real system for performance testing potentially gives the best results. However, this option may not always be available since it may be costly to run test cases with unpredictable outcomes on a real system. In such a case, it may be desirable to replicate the real system in a similar environment which may also be a costly operation.

- Test cases may be executed on a simulation environment using behavioral models for the applications (Figure 13). This path is less costly
albeit the quality of performance test results are highly dependent on how closely the system behavioral models capture the design of the system.

In either case mentioned above, there needs to be a way to make an assessment about the results of the test run. If performance requirements were clearly captured and each performance metric could be measured at the end of a test run, it might be possible to make pass/fail decision on the test run. However, the question is: is it desirable to merely verify performance, or in general non-functional requirements, in the same manner as functional requirements? One may argue that non-functional requirements testing phase in the development life cycle is more about observing, understanding how the system performs in different conditions, environments or with different system parameters. It is more valuable to be able to analyze test run results,
reason about the system performance, and identify relationship of system parameters with performance then to make a pass/fail decision. From this perspective, (performance) testing approaches (performance) engineering. In this sense, it is crucial to be able to feed the results of a test run back into design of the system, thus closing the loop. This does not necessarily mean to automatically feed a test run result back into the system. This feedback may be in the form of understanding more about the system and devising more and interesting test cases with variations in system parameters or system environment.

There is extensive literature on performance prediction from performance models (e.g. [21], [22], [23]) and those literature was investigated in [12]. However, the approach described here is not about predicting the performance of a system from performance models as outlined above. It is important to make the distinction between creating a performance model for a system and creating a system model and including a performance aspect.
In the approach described here, in a typical model based development sense, behavioral and structural abstractions of a system are captured in a system model and this model is extended from performance point of view. Since it is also possible to generate series of test cases from this system model, it is possible to run the actual test cases either on the real system or in a simulation environment to reason about the actual performance of the system.

In order to get information about the performance of the system, a monitoring system is typically needed when this information cannot be obtained from the system during design time. A monitoring system is used to collect run-time information about a system [24]. Performance information of a system is a typical information that can be obtained during run-time. When the generated test cases are run on the actual system, obtaining performance results will rely on the monitoring system in place for the actual system. On the other hand, when the generated test cases are run on the simulated system, a performance monitoring component is needed. For the implementation of the approach, a performance monitor that collects performance information about the simulation system under test is explained in Chapter V.

A system designer has the knowledge about the internals of the system and how it should work. If one considers a designer who is designing a distributed middleware based system, it’s safe to assume that she knows the structure of the system to be designed, the services the middleware is going to provide to the applications, how applications will use those services, and what type of complex interactions will take place between applications and
the middleware services. The modeling approach described in this thesis gives the designer ability to capture her knowledge about the system she is designing in the form of models. When both the structure and behavior of the system is captured and either a simulation environment or parts of the real system is available, the designer can easily explore the behavior of the system and its effect on the performance of the system.

The approach enables the designer to easily create experiments, Test Series Definitions, and effortlessly generate test cases to exercise either the simulated or the real system. Since models also allow the designer to capture information about configuration parameters of system components, it is possible to observe how certain values of parameters in a certain system structure effects the performance of the system. Designer can then analyze the resulting data from the experiments, compare them to her performance goals. If the results are not satisfactory, she can turn back to the design and make changes to engineer the system to the needs of the design. Figure 14 shows the cycle that the system designer will typically go through for performance engineering the system.

Finally, an important note should be made about validating the simulation models that are used to make design decisions. One of the challenges mentioned in Section II of this chapter was about having previous versions of a system. The remark on that challenge was about determining a representative workload for the system. However, similar challenge also applies to having historical benchmark data about a system. If there is historical
Figure 14: Engineering Process
performance benchmarks for the system, results of performance tests can be compared against the benchmarks to catch invalid abstractions made in the simulation models. Results of a test run can be compared against trends of performance metrics with respect to changes in parameters whose impact on the system is well known. In addition, designer should always question the validity of results and should perform sanity checks to consider whether resulting data makes sense. Another aspect that needs to be considered is the validity of system behavior models. Performance test results depend on the DEVS behavior models. Those models are derived from functional requirements of the system. In order to have higher confidence in the validity of behavioral models, functional testing techniques [25] can be employed.

**Summary**

In this chapter, a method for performance testing distributed middleware based systems were described. Several challenges including difficulty of creating many system configurations for a distributed middleware system were identified. As a possible solution to the challenges identified, a model based approach was described. Test Series Definition Modeling Language (TSDML) was presented in detail.

The approach described in this chapter focused on using modeling a system from performance perspective. It was pointed out that it was different
from research that is focused on creating performance models for performance prediction. The modeling approach enabled the use of models during the system design cycle with an added perspective of performance testing. In addition to the TSDML, modeling behavior of the system using DEVS modeling formalism was presented. The connection between the TSDML and DEVS modeling layers were explained.

Finally, a brief discussion on performance engineering of a system was given. The discussion focused on clarifying that the goal of the approach is enable performance engineering of a system based on observations from experiments conducted on the system using TSDML and DEVS models.
CHAPTER III

BACKGROUND ON DEVS MODELING FORMALISM

Discrete Event System Specification (DEVS) is a modeling and analysis formalism for discrete event systems [8]. Modular and hierarchical modeling views are two important aspects in DEVS formalism. Modularity is achieved by input and output events whereas hierarchical aspect is realized by the coupling operation.

A DEVS system is formed of states, input and output events, a notion of time, and functions that describe how the system evolves with respect to input and output events.

There are two types of DEVS models. Atomic DEVS models enable a system to be modeled modularly by first creating models by simple fundamental dynamic behaviors. Coupled DEVS models enables the definition of the system hierarchically by coupling the atomic models to create a complete system specification. Mathematical definitions of those models will be given in the next sections.

**Atomic DEVS Models**

An atomic DEVS is a 7-tuple structure $A = \langle X, Y, S, s_0, \tau, \delta_x, \delta_y \rangle$ [2] where

- $X$ is a set of *input events*. 

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Figure 15: Symmetric Structure of Atomic DEVS [2]

- $Y$ is a set of *output events*.
- $S$ is a set of *states*.
- $s_0 \in S$ is the initial state.
- $\tau; S \rightarrow T$ is the *time advance function* where $T = [0, \infty]$ is the set of non-negative real numbers plus the transfinite number, infinity. This function is used to determine the lifespan of a state.
- $\delta_x : P \times X \rightarrow S \times \{0, 1\}$ is the *input transition function* where $P = \{(s, t_s, t_e)| s \in S, t_s \in T, t_e \ in[0, t_s]\}$ represents the set of states. Times $t_s$ and $t_e$ are the lifespan of the state and the elapsed time since the last reset of $t_e$, respectively. The boolean result in the definition determines whether the elapsed time will be reset or not.

Figure 15 shows the structure of an atomic DEVS model. The symmetric nature of the DEVS model comes from the fact that input event set $X$ and
input transition function \((\delta_x)\), and output event set \(Y\), and output transition function \((\delta_y)\) are on the opposite sides of the structure [2].

There are two types of transitions in an atomic DEVS model: external and internal transitions. These transitions are the only ways a model can change its state. Internal transitions are time-based. That is, an internal transition occurs when the elapsed time reaches to the lifetime of the state which is defined by \(\tau(s)\). An internal transition not only causes a state change but may also generate an output event. External transitions are event-based. That is, an external transition occurs when an input event arrives. An input event causes a state change when the conditions given by \(\delta_x\) is satisfied. External transitions are instantaneous and only trigger state change and do not generate an output event.

Figure 16 shows two atomic models called Server and Buffer. In the figure, \(?in\), and \(out\) correspond to input and output of the Server atomic model, whereas \(in\), \(pull[i]\) are inputs and \(out\) is the output of the Buffer atomic model. \(Idle\), \(Busy\) and \(Collided\) are the states of the Server atomic model. On the other hand, the states of the Buffer atomic model are \(Idle\), \(Matched\) and \(SendTo\). There are also several input and output transitions functions. For example, Server changes its state from \(Idle\) to \(Busy\) when \(in\) is received and stays in the \(Busy\) state for 10 seconds since the lifespan of the state is denoted as 10 in the figure. Different than the Server, Buffer atomic model can accept two different inputs, \(in\) and \(pull[i]\). The difference
between these inputs will be evident shortly when the Coupled DEVS model is explained.

**Coupled DEVS Models**

A coupled DEVS is also a 7-tuple structure [2]

\[ N = < X, Y, D, \{ M_i \}, EIC, ITC, EOC > \]

where

- \( X \) is a set of input events
- \( Y \) is a set of output events
- \( D \) is a set of names of subcomponents
- \( \{ M_i \} \) is a set of DEVS models where \( i \in D \). \( M_i \) can be either atomic DEVS model or a coupled DEVS model
- \( EIC \subseteq X \times \bigcup_{i \in D} X_i \) is a set of external input couplings where \( X_i \) is the set of input events of \( M_i \).
Figure 17: A Coupled DEVS Model [2]

- $ITC \subseteq \bigcup_{i \in D} Y_i \times \bigcup_{i \in D} X_i$ is a set of internal couplings where $Y_i$ is the set of output events of $M_i$.

- $EOC \subseteq \bigcup_{i \in D} Y_i \times Y$ is a set of external couplings.

A coupled DEVS model defines the subsystems that are contained by the model and how they are connected to each other. Coupled DEVS models realize the modular and hierarchical aspect of the DEVS formalism by enabling a system designer to build a larger system by designing and connecting simpler subsystems. Although it is not impossible to create a complete system only with atomic models, it is very tedious and error prone. Coupled DEVS model eliminates this complexity and lets subsystems be composed together and connected to each other enabling a better system specification.
Figure 17 shows a coupled DEVS model [2]. It’s part of a Client-Server system. The configuration in Figure 17 is for 3 servers. A buffer is present to hold requests from clients and coordinate allocation of clients on to the servers. As mentioned in the previous section, Buffer can accept to inputs denoted by ?in and ?pull. The in input comes from a client whose model is not shown here. The pull inputs come from servers and are indexed by the server in the form of pull[i]. Since this is a coupled system, outputs out1, out2 and out3 from the Buffer is fed into the input ports of the corresponding Server. Similarly, output of each Server becomes the pull[i] input for the Buffer.

Since the resulting DEVS model is modular and hierarchical, events generated within a subsystems can propagate through other parts of the subsystem horizontally, or through other subsystems vertically within the hierarchy of the system through well defined interfaces.

**Summary**

DEVS formalism provides the means to describe discrete event systems and provides constructs like time, events, states and transitions as well as composition of models. In this research, DEVS was chosen to be used to model a distributed data acquisition system from simple atomic models of system components along with domain specific models. Event-based nature
of the data acquisition system made DEVS the proper tool to model its middleware and applications using the DEVS modeling formalism. The Open DEVS simulation framework [2] provides suitable ground work to model applications as DEVS models and simulate the complete system.
CHAPTER IV

BACKGROUND ON CMS DAQ SYSTEM

The Compact Muon Solenoid (CMS) experiment is a particle physics detector built on the proton-proton Large Hadron Collider (LHC) being built at CERN in Switzerland. One of the goals of CMS is to discover the Higgs boson. CMS is designed as a general-purpose detector and is going to be capable of studying results of proton collisions to take place inside the LHC.

An experiment at a hadron collider requires a sophisticated trigger and data acquisition (DAQ) system because of very high collision and overall data rates. The frequency of protons crossing each other at the LHC is 40 MHz [26].

The main goal of the CMS Trigger and Data Acquisition System (TriDAS) is to inspect the detector information arriving at 40 MHz frequency and to select events and to store them for offline processing. The events are selected at the maximum rate of $O(10^2)$. There are two steps in the functionality of the system. The first step, which is called the Level-1 Trigger [26], is designed to reduce the rate of events selected for offline processing to less than 100 kHz. The second step, which is called High-Level Trigger (HLT), is designed to further reduce the 100 kHz of the Level-1 Trigger to the final output rate of 100 Hz.

Functionality of the CMS DAQ and HLT is given in the CMS DAQ Technical Design Report as follows [26]:

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Figure 18: Data Flow in the Trigger/DAQ System

- “perform the readout of the front-end electronics after a Level-1 Trigger accept”

- “execute physics selection algorithms on the events read out, in order to accept the ones with the most interesting physics content”

- “forward these accepted events, as well as a small sample of rejected events, to the online services which monitor the performance of the CMS detector and also provide the means of archiving the events in mass storage”

Figure 18 shows the data flow in the Trigger/DAQ system and also visualizes the Level-1 Trigger and HLT stages mentioned above.
DAQ Architecture

Figure 19 shows the architecture of the CMS DAQ system. The system consists of the following elements:

- **Detector Front-ends** are the components that are connected to the front-end electronics to store the data from them as the Level-1 Trigger accept signal is received.

- **Readout Systems** are the components that are connected to the Front-End System (FES) to read the data from the detector. Readout systems store the data until they are sent to the processor to which will analyze the event. There are about 500 components which are called “Readout Columns”. Each Readout Column consists of a number of Front-End Drivers (FEDs) and one Readout Unit (RU). RU is responsible for keeping the event data in its buffer and interfacing to the switch.

- **Builder Network** is a collection of networks providing the interconnections between the Readout and Filter Systems. It can handle 800Gb/s sustained throughput to the Filter Systems.

- **Filter Systems** are the processors that the RUs provide the events with. Filter systems are the entities that decide whether a supplied event is interesting and will be kept for offline processing or not. The interestingness of an event is determined by executing the High-Level
Trigger algorithms. There are about 500 entities which are called “Filter Columns”. Each of those include one Builder Unit (BU). A BU is responsible for receiving incoming data fragments that correspond to a single event and building them into full event buffers.

- **Event Manager** controls the flow of events in the system. Event Manager (EVM) serves as a centralized intelligence of event management.

- **Computing Services** are composed of all the processors and networks that receive filtered events and some of the rejected events from the Filter Farms.

- **Controls** are responsible for the user interface and the configuration and monitoring of the DAQ.

Given the component breakdown of the system it is possible to identify four stages of system functionally. The first stage is a detector readout stage
where events are collected and stored in buffers. The second stage is the event building stage, where all data corresponding to a single event are collected from the buffers. The third stage is the selection stage where High-Level Trigger in the processor processes the event. The final stage is the analysis and storage stage where the events that are selected in the previous stage are sent to the Computing Services for additional processing for storage or further analysis.

XDAQ uses a format called I2O data binary data format. I2O (Intelligent Input Output) is an I/O architecture specification developed by a consortium of computer companies called the I2O special Interest Group (SIG) for managing devices. The details of the I2O message format is not in the scope of this research. However, more information about the details of the I2O specification may be obtained from [27].

**Event Builder**

The main task of the DAQ system is to read each event’s corresponding data out of the FEDs and merge it into the single structure called “physics event” and to transmit the physics event to a filter farm consisting of processor that execute physics algorithms that decide whether the event should be kept for further processing or discarded [26]. The Event Builder (EVB) is the central component of the DAQ system and includes the components that are responsible for this task.
Figure 20: Front and Side Views of the DAQ

Figure 20 shows a more detailed version of the DAQ architecture depicted in Figure 19.

In the first of the stages that were identified above, there exist 8 FEDs that the RUs read data from and perform merging of event data fragments into larger data blocks called “super-fragments” or “s-fragments”. This arrangement makes up a 64 “FED Builders” each of which consists of 8 FEDs, a 8x8 switch, and 8 RUs. Readout data is distributed among 64 RUs to maximize readout bandwidth. Thus, parts of data from a single event are buffered in 64 RUs. In the second state, 64 BUs which read out the data from a single event contained in 64 RUs and build these 64 s-fragments to form a single event. RUs and BUs are connected to each other through a 64x64 switch. The group of 64 RUs, the 64x64 switch and 64 BUs are called the “RU Builder”. The full XDAQ system is composed of 64 FED Builders.
and 8 RU Builders. Figure 21 shows the three-dimensional representation of the system [26].

RU Builder

This research is mainly interested in the components of the RU Builder as the experimental platform. Figure 27 shows the event builder and how the RU Builder is connected to the rest of the system [28].

RU Builder consists of several applications. There is a single Event Manager (EVM), one or more readout units (RUs), and one or more builder units (BUs). The trigger adapters (TAs), readout unit inputs (RUIs) and
filter units (FUs) are external to the RU Builder and are not in the scope of the experimental platform of this research.

Event Manager (EVM)

EVM is the application that controls the flow of event data through the RU Builder. Figure 22 shows the internal FIFOs of the EVM and its dynamic behavior.

In the first step, EVM receives trigger data of an event from the RUI. In step 2, EVM assigns a free event ID to the trigger data. In step 3, EVM requests the RUs to readout the event’s data. In step 4, BU asks the EVM to allocate it an event. In this request, BU may also send an event ID to be cleared. In step 5, EVM saves the event ID received from BU as a free ID. In step 6, EVM sends the BU a confirmation of the allocation by sending the requesting BU the assigned event ID and trigger data of the allocated event.

Readout Unit (RU)

RU is the application that buffers the s-fragments until there is a BU request. Figure 23 shows the internal FIFOs of the RU and its dynamic behavior.

In the first step, RU receives a pair of “event ID/trigger event number” and asks the RU to readout the data of the assigned event ID. In step 2,
RUI tells the RU that event’s data is ready to for readout and processing. In step 3, a RU fills in its fragment lookup table with each s-fragment for which it received pair for from the EVM. In step 4, BUs request from RUs the s-fragments of the events that they received confirmation for from the EVM. In step 5, a RU fulfills the request from a BU with s-fragments retrieved from the s-fragment from its fragment lookup table and asks the BU to cache the events data [28].

Builder Unit (BU)

BU is the application that is responsible for event building. Figure 24 shows the internal FIFOs of the RU and its dynamic behavior.
Figure 23: Dynamic Behavior of RU
In the first step, the BU with free capacity asks the EVM to allocate it an event. In step 2, BU receives the confirmation of event allocation from the EVM along with the event ID and trigger data of an event which makes up the first s-fragment of the event. In step 3, the BU asks the RUs for the rest of the event’s s-fragments. In step 4, the BU receives the the rest of the event’s s-fragments from RUs, and caches them in its block FIFO. In step 5, the BU builds the event’s s-fragments into one whole event in its resource table. In step 6, FUs requests an event from BU for processing. In step 7, BU allocates a whole event to the requesting FUs. In step 8, when a FU finishes processing an event, it asks BU to discard the event ID corresponding to processed event [28].
Summary

CMS DAQ system is the data acquisition system for the CMS experiment. In this chapter, basic information about the architecture of the CMS DAQ system was given. The focus was given on the Event Builder and more specifically the RU Builder and its applications. RU Builder applications are used as part of the experimental platform for this research.
CHAPTER V

MODEL BASED PERFORMANCE ENGINEERING OF CMS DAQ SYSTEM

In the previous chapters the methodology of the approach was described along with information on TSDML and DEVS modeling formalism. A background information about the CMS DAQ system was also given in Chapter IV. In this chapter, details of the implementation of the approach on the CMS DAQ system will be explained.

The first section focuses and its subsections focus on describing the system under test and how it’s broken into layers. The next section describes in detail how the applications in the system are modeled in DEVS modeling formalism. The third section talks about the performance aspect captured in the models. The fourth section explains the implementation of the random input data generator used to feed data into the simulation system. The next section lays out the communication interfaces between applications. Section five describes how the system is modeled in TSDML for test generation. Sections six and seven focus mainly on performance engineering and analysis of experiment results.
The system that is modeled for performance testing/engineering is a middleware based distributed system which can be depicted in three layers as shown in Figure 25.

The modeled system is based on the XDAQ framework which is developed at CERN as a platform for the development of distributed data acquisition system [26]. A brief background on CMS XDAQ system is given in Chapter IV.

In the upcoming sections, implementation of components of the system under test will be described. A depiction of the system under test as implemented can be seen in Figure 26.
There are four applications that exist in the application level. Those are Event Manager (EVM), Readout Unit (RU), Builder Unit (BU), and Peer Transport (PT).

Peer Transports are special applications that carry out the data transmission in the distributed programming environment. Data transmission in XDAQ and Peer Transports are explained in detail in the CMS DAQ Technical Design Report [26].

EVM, RU and BU applications form the RU Builder which is part of a larger system called the event builder (EVB). Given the distributed nature of the EVB, it is responsible with reading event fragments from one set of
nodes and assembling them into entire events on another set of nodes. Figure 27 shows the event builder and how the RU Builder is connected to the rest of the system [28].

This research is interested in the RU Builder and the applications that make up the RU Builder. Details of all the other components are given in [26].
Event Manager (EVM) is the component which is responsible for determining the data flow in the RU Builder. Mainly, EVM assigns event id’s to the events coming into the RU Builder. In addition, EVM manages the lifetime of the event id’s as long as they are in the RU Builder. For this reason, EVM is the only component that knows about the status of the assigned event id’s being processed in the RU Builder. EVM is in communication with all the RUs and BUs in the RU Builder [26].

Readout Unit (RU) is the component which is responsible for reading super-fragments, keeps them in the memory until there is a request from the Builder Unit, and transmits the requested super-fragments as a response to the request [26].

Builder Unit (BU) is the component which is responsible for building complete events from the super-fragments that are in RUs. As BU builds complete super-fragments, it keeps them in its buffer until they are requested by the Filter Unit. Filter Unit is the computational unit of the Filter Farm which runs the physics algorithms [26].

Middleware Layer

In the XDAQ architecture, the middleware layer is called the Executive Framework which is basically a XDAQ application called Executive. In a distributed manner, a copy of the Executive is run on every node that participates in data acquisition and event building.
In the next section, how these application components are modeled in the context of Open DEVS framework will be explained.

**Application Simulation Models**

**Executive**

Executive is the only application that resides in the middleware layer and is responsible for coordinating the communication of applications. Figure 28 shows the dynamic behavior of the Executive.

**Step 1:** An application that needs to send an event to another application sends the event to the Executive. Upon receipt of the message from any application on its input port, the Executive saves the message into the requestBufferFIFO.
Step 2: Executive knows about the available PeerTransports to use to send messages to the desired applications. Executive sends the send event to the appropriate PT.

Figure 29 shows the DEVS model of Executive with states and input and output events. Executive has two input and two output ports. The input port labeled in accepts input from any of the applications whereas in_proc accepts input from the Processor. The output port labeled out sends output to PeerTransport application whereas out_proc sends output to the Processor. Executive is initially at WAIT state and stays at the state until there is an input from an application from the in port. As a request from an application comes through the in port, Executive switches to SCHEDULE state and immediately switches to WAITING_FOR_PROCESSOR after outputting the event schedule to request $T$ amount of time from the Processor. This event is sent out from the out_proc port to the Processor. When run input event is received from the Processor while the Executive is at WAITING_FOR_PROCESSOR state, the Executive switches to RECEIVE_REQUEST state. While at this state, Executive processes the request from the application until the scheduled processor time is elapsed. When the Executive receives the ret event at the in_port from the processor, it immediately switches to the SENDING state. At this state, Executive sends out the processed requests to the PeerTransport application from out_proc. The Executive stays at this state until all the requests are sent out, that is sendQueue is empty. As soon as sendQueue is empty, the Executive goes back to the WAIT state.
Figure 29: Executive Model
Processor Model

The experimental framework also includes a processor model to implement simple scheduling. Figure 30 shows the DEVS model of the processor along with its states, transition conditions, and inputs and outputs.

Processor has as many input and output ports as there are applications. The input and output ports are named as \(\text{in}_i, \ldots, \text{in}_n\) and \(\text{out}_i, \ldots, \text{out}_n\). Input and output ports are indexed by application IDs. This way the Processor knows the application that is making the request. The processor is initially at \(\text{IDLE}\) state and stays at that state until it receives an input event at one of the input ports. When the Processor receives a scheduling request from an application, it switches to \(\text{SCHEDULE}\) state. Along with the scheduling request, the application also sends the amount of time it requests. The processor buffers all the scheduling requests. The Processor immediately leaves the
**SCHEDULE** state and outputs run event and switches to RUN state. The run event is sent out from the output port whose index corresponds to the index of the input port on which the request was received. The processor is set to stay at the RUN state for the amount of time, $t_{RUN}$, requested by the application. When this time is elapsed, the Processor outputs ret event from the same output port the run event was sent out. At this time, the Processor switches back to the IDLE state.

**Event Manager (EVM)**

EVM is responsible for controlling the flow of event data in the system. In the meantime, the EVM assigns event id’s to the events that are generated. For the purposes of simulation, dummy event data is generated by the component called InputGenerator. Details of the InputGenerator will be explained later.

Figure 31 shows the dynamic behavior of the EVM and the input/output events that it exchanges with the other applications.

**Step 1:** When the system is enabled the first event that the EVM receives is the bu_allocate_clear event from the BU. Since there are no event requests available at the beginning, this event triggers the operation of the RU BUilder. Receipt of this event affects the clearedEventId and request FIFOs of EVM. The incoming event may be for a new event id request, be a request for release of a used event id, or be a request for both release of
Figure 31: Dynamic Behavior and Internal FIFOs of EVM

a used event id and a request for new event id. Upon receipt of the event, appropriate FIFOs are filled.

At the same time, the initial request for event data is sent to the DataGenerator component. Along with the request, a parameter called \textit{nbCredits} is sent. This denotes the number of available free event id slots in the builder. In this first step, the number of available free event id’s is the size of the freeEventIdFIFO.

\textbf{Step 2:} If there were a request to release an event id in the previous step, the freeEventIdFIFO is populated with the released event id.

\textbf{Step 3:} EVM asks for new event data with the number of released event id’s as \textit{nbCredits} from the DataGenerator.
Step 4: DataGenerator sends the generated dummy event data to the EVM. Upon receipt of the data, dummyDataFIFO is filled with the event data.

Step 5: If dummyDataFIFO and freeEventIdFIFO is not empty then pairFIFO is filled with the free event id from the freeEventIdFIFO and the event number from the dummyDataFIFO.

Step 6: When the conditions for Step 5 are satisfied EVM also sends ru_readout event to RU with the event id/event number pair.

Step 7: If the requestFIFO is filled with a request from BU, and the pair-FIFO is filled with a Event Id/Event Number, then EVM sends bu_confirm event.

Figure 32 shows the DEVS model of EVM with states and input and output events.

EVM has two input ports and two output ports. Input port in receives input events from BU and in_proc receives input events from the Processor. Output port out sends output events to RU and out_proc sends output events to the Processor.

Initially, EVM is in WAIT state until an event is received. When EVM receives bu_allocate_clear event from BU and immediately switches to SCHEDULE state. It immediately switches to WAITING_FOR_PROCESSOR and outputs schedule event at the out_proc port. EVM stays at this state until run event is received from the Processor at the in_proc input port. At this time, EVM switches to FILL_RQST_AND_DISCARD_FIFO and starts
Figure 32: EVM Model
processing the input received from BU. EVM stays at this state as long as `ret` event is received from the Processor at the `in_proc` port. At this time, EVM switches to `SENDING` state and sends out `bu_confirm` event at the `out` port to RU. EVM stays in this state until all the events are sent out and `sendQueue` is emptied. At this time, EVM switches back to `WAIT` state.

The events and state transition conditions are clearly indicated on the figure. EVM implements a queue called `sendQueue` which is filled in when there is an output event to be sent out.

**Readout Unit (RU)**

Readout unit is responsible for gathering the event data fragments and building super-fragments from them. Multiple fragments make up one complete event. DataGenerator generates dummy events with random fragment sizes. Figure 33 shows the dynamic behavior of RU.

**Step 1:** The first step in the RU processing is the receipt of `ru_readout` event from EVM. EVM sends RU a event id/event number pair for processing. RU populates its pairFIFO with event id/event number pair.

**Step 2:** RU asks the DataGenerator to send it the fragments of the event data that corresponds to the event number received from EVM.

**Step 3:** DataGenerator sends RU the number of blocks that fragment for the specified event number is composed of. Upon receipt of the data the blockFIFO of RU is filled with the blocks received from the DataGenerator.
In addition, at the same time, all blocks belonging to a single event are collected together to form event super-fragments.

**Step 4:** If the super-fragments are formed and pairFIFO is holding event id/event number pairs, then the table that is indexed by the event id from the pairFIFO and that holds all super-fragments is filled with super-fragment block.

**Step 5:** BU sends _ru_send_ event to request an event super-fragment to build. Upon receipt of the event, requestFIFO corresponding to the index of the BU that is requesting an event is populated.
**Step 6:** If any of the requestFIFOs is filled with a request, RU services the BU request with event super-fragments that are saved in the super-fragment table and sends out the `bu_cache` event to BUs that requested an event.

Figure 34 shows the DEVS model of RU with states and input and output events.
RU has two input ports and two output ports. Input port \textit{in} receives input events from EVM and BU and \textit{in\_proc} receives input events from the Processor. Output port \textit{out} sends output events to BU and \textit{out\_proc} sends output events to the Processor.

Initially, RU is in \textit{WAIT} state. When RU receives an input event from either EVM or BU at port \textit{in}, RU switches to \textit{SCHEDULE} state and immediately switches to \textit{WAITING\_FOR\_PROCESSOR} as it outputs \textit{schedule} event to the at the \textit{out} port to the Processor. As RU receives the \textit{run} event from the Processor at the \textit{in\_proc} port, depending on the input received initially at the \textit{in} port it either switches to \textit{FILL\_PAIR\_FIFO} or to \textit{FILL\_RQST\_FIFO}. At these states, RU processes the requests until \textit{ret} event is received from the Processor at the \textit{in\_proc} port at which time RU switches to \textit{SENDING} state. RU stays at this state and sends \textit{bu\_cache} event to BU. When RU finishes all the events in its \textit{sendQueue} and switches back to \textit{WAIT} state.

**Builder Unit (BU)**

Builder Unit (BU) is responsible for building events An event is composed of one super-fragment from coming from the DataGenerator and \textit{N} RU super-fragments where \textit{N} is the number of RUs. Figure 35 shows the dynamic behavior of BU.

**Step 1:** As BU is enabled, the first action it takes is to send initial event requests to EVM. At this point the builder is completely available to build events. BU sends the event \textit{bu\_allocate\_clear} event to EVM.
Step 2: BU receives the `bu/confirm` event from EVM. Upon receipt of the event, BU fills in the `eventIdFIFO` with the id’s of events that are assigned to the system by EVM.

Step 3: If the `eventIdFIFO` is not empty, BU starts the construction of the event with the first event id in the `eventIdFIFO` and is ready to receive fragments of that event from RUs. At this point, BU sends out the `ru_send` event to all RUs that are participating in the event building and asks for the fragments of the event that is under construction. Moreover, at this step, if a construction of an event is complete, then the `fullResourceFIFO` is filled by BU. This also increases the number of events built in the builder, and completes the lifecycle of an event id/event number pair.
Step 4: BU recieves the *bu_cache* event from participating RUs. Upon receipt of this event, BU fills in the blockFIFO with blocks of event under construction.

Step 5: If there is an event data block in the blockFIFO then BU appends event block data to the previous blocks of the same event data. When the event building is complete the number of events built in builder is incremented and the completed event block is put into the fullResourceFIFO. In addition, the completed event id ends its lifecycle and is pushed into the discardFIFO.

Step 6: In the simulation system, there is no Filter Unit to process the physical importance of those events. Instead the all the events are dropped after being completed and the number of events built in BU is incremented.

Step 7: If the discardFIFO is not empty then the used event id is recycled and *bu_allocate_clear* is sent to EVM if the total number of events to be built has not been reached.

Figure 36 shows the DEVS model of BU with states and input and output events.

BU has two input ports and two output ports. Input port *in* receives input events from EVM and RU and *in_proc* receives input events from the Processor. Output port *out* sends output events to RU and EVM and *out_proc* sends output events to the Processor.

Initially, BU is at *WAIT* state. When BU receives input events from either EVM or RU, it switches to *SCHEDULE* state and immediately sends
Figure 36: BU Model
out the schedule output event to the Processor at the out_proc and switches to WAITING_FOR_PROCESSOR state. When the run input event is received from the Processor at the in_proc, BU switches to either ENABLE, FILL_BLOCK_FIFO FILL_EVENT_ID_FIFO depending on the input event to be processed. BU switches to SENDING as it receives the ret input event is received from the Processor at the in_proc port. At this state, BU sends output events to BU and EVM amd switches back to WAIT state as soon as its sendQueue is empty.

Peer Transport (PT)

PeerTransport is the component that is responsible for transmitting messages between applications. Figure 37 shows the dynamic behavior of the PeerTransport.

**Step 1:** PT receives send event from the Executive. Upon receipt of the event, PT fills in the msgBufferFIFO. At this point, PT knows about the
communication parties and the message that is being transmitted between them.

**Step 2:** If the message buffer is not empty, PT puts the messages in the buffer into the sendQueueFIFO and sends out the message received from the Executive to all the applications. PT does not know the contents of the message or the event that is being transmitted. PT only transmits the message to all the applications and only the application with the id that matches the destination id of the message processes the event.

Figure 38 shows the DEVS model of PT with states and input and output events.

PeerTransport has two input ports and as many output ports as there are applications. Input port $in$ receives input events from the Executive and $in_{\text{proc}}$ receives input events from the Processor. Output ports $out_i$ are indexed by IDs of applications and send output events to applications and $out_{\text{proc}}$ sends output events to the Processor.

Initially, the PeerTransport is at $WAIT$ state. When $send$ event is received from the Executive at the $in$ port, it switches to $SCHEDULE$ state and immediately sends $schedule$ event to the Processor at $out_{\text{proc}}$ port and switches to $WAITING\_FOR\_PROCESSOR$ state. When the PeerTransport receives $run$ event from the Processor at the $in_{\text{proc}}$ port, it switches to $TRANSMIT$ state. At this state, PT processes the request from the Executive. When $ret$ input event is received at this state, PeerTransport switches to $SENDING$ state and transmits messages to the requesting application at
Figure 38: PeerTransport Model
the output port whose index matches the ID of that application. After all messages are sent out and its sendQueue is empty, PeerTransport switches back to WAIT state.

Performance Aspect in Models

DEVS models of applications are given in the previous sections. It’s also important to note that some parameters related to the performance of the system are also captured in the application models. These parameters are mainly parameters of RU Builder applications.

The XDAQ system is distributed as a software package by CERN which does not allow tuning of performance or modification of any performance related parameters. The tuning is done by the developers for only the case for which the system is going to be deployed for the experiment. However, for the purposes of this research, it was crucial to know the parameters which are highly probable to have an impact on the system performance.

Upon conversations with XDAQ developers, it was made clear that so-called packing parameters, and total number of blocks that make up a s-fragment are among the most important parameters that affect the performance of the system. In the original XDAQ system all packing parameters are set as 8. Fragment sizes change during operation as different events have differing amounts of data.
Packing parameters are captured in EVM and BU models. EVM has the parameter \texttt{RU.READOUT_PACKING} which determines how many requests need to be packed before sending a readout request to RUs. BU has the parameter \texttt{EVMALLOCATECLEAR_PACKING} which determines how many requests need to be packed before requesting or releasing an event id and \texttt{RU.SEND_PACKING} which determines how many requests need to be packed before sending s-fragment requests from RUs.

In addition to the packing parameters, BU model captures \texttt{blockFIFOCapacity}, \texttt{requestFIFOCapacity}, and \texttt{maxEventsUnderConstruction} which determines the maximum number of events that can be constructed in BU.

Varying event data block sizes are not captured in the application models but rather in the data generator which is explained in the next section.

\section*{Input Data Generator}

The experiment platform and the simulation engine is driven by a dummy input data generator. As stated in the previous section, differing event data fragment sizes are generated by the dummy data generator and fed into the system.

The input data generator is modeled both in TSDML and simulation. The important aspects of the input data generator that are captured in TSDML are:
• RandomDistribution: Enables selection of the type of distribution that is wanted to be used

• BlockSize: According to [26], block size of an event is 4 kB and has to be captured in the so that it can be varied if needed. Number of blocks in s-fragment is a statitical distribution since different events have different amounts of data [26].

• NumberOfDataSources: In the CMS system, there are actually 8 total number of data sources. In order to simulate this behavior, this is also captured in TSDML.

• EventSizeMean: Average size of an event is 1 MB [26]. This is captured in the TSDML so that event mean can be varied and the system can be tested with varying mean event sizes.

• EventSizeSigma: Standard deviation for the event size.

Capturing the input data generator abstractions in TSDML also enables varying the input data parameters using a sweeper. This is illustrated in Figure 39.

The core part of the input data generator is implemented as part of the simulation. However, it is not implemented as a DEVS model and rather implemented as a stand alone component. The type of random distribution is selected from the TSDML model and can be normal, log normal, exponential
or uniform. Boost Random library is used to generate a random distribution [29]. For the random distribution generation Mersenne Twister random number generator is used. The following code snipped demonstrates how a log normal distribution was generated:

```cpp
//Create a Mersenne twister random number generator
static mt19937 rng( static_cast<unsigned> (time(0)) );
//Select distribution
lognormal_distribution<double> lognorm_dist(
  _eventSizeMean, _eventSizeSigma);
```

In addition to generating random distribution, input data generator component is also responsible for generating event numbers and super fragments to be consumed by the system. Figure 40 shows how the input data generator component fits with the rest of the DEVS models.
Input data generation is triggered by EVM. Number of random event sizes based on the selected distribution is generated. The total number of event sizes generated depends on the total number of events that can be built by XDAQ. EVM also triggers the super-fragment building from the generated event sizes. A super-fragment is a collection of fragments. In XDAQ system, an event is composed of several blocks (4KB each) because of the distributed nature of the system. The goal of super-fragment building is to collect all blocks of an event into one chunk called a super-fragment. The input data generator represents a super-fragment as a structure with the following fields:

- Event Number

- Super-fragment Size = Event Size / Number of Super-fragments

- Number of Blocks in Super-Fragment = Super-Fragment Size / Block Size
• Super-fragment Number

• Event data blocks

In the above structure, the fields Super-fragment size and Number of Blocks In Super-fragment depend on the random event size data generated by the input generator. Event Size is the size that is generated with selected random distribution. Number of Super-fragments equals to the total number of RUs in the system. As can be observed, super-fragment is distributed equally among all RUs.

In summary, the input data generator generates input event data that is distributed according to a selected random distribution type and provides a representation of event data in the form of a super-fragment. A super-fragment for a specific event number/event id pair is what is consumed by the simulation engine during a test run.

**Performance Monitor**

Figure 58 shows a component called Performance Monitor. Similar to the Input Generator, this component is not part of the models and it’s independently responsible for collecting performance data from the system. As seen in Figure 58, it has the responsibility of saving performance results into the database as well.

Although the Performance Monitor is not part of the models, it operates hand in hand with performance probes. Performance monitor is only invoked
when there is a need to measure performance. This need is denoted by per-
formance probes in the TSDML models. Performance monitor collects data
about the event that is being built and it’s input and output timestamps
at points indicated by performance probes. At the end of a test run, per-
formance monitor is responsible with calculating values of the performance
metrics and saving them into the database.

**Communication Interfaces Between Applications**

**EVM-BU Interface**

EVM and BU has two way interface. Figure 41 shows the events passed
between EVM and BU.

BU starts the interaction between itself and EVM by sending event re-
quests by sending the \texttt{evm\_allocate\_clear}. The message format of the com-
munication between EVM and BU is as follows:
BU sends the following data along with the event:

- **buAddress**: The IP address of the computer that the BU is running on.
- **buId**: Unique identifier of the source application, BU.
- **destinationId**: Unique identifier of the destination application.
- **eventName**: Name of the event which is `evm_allocate_clear` in this case.
- **data**: Actual request message which consists of the request.

In order to form the request data, BU sets the following parameters:

- **BU Id**: The unique identifier of the BU that is making the request.
- **Number of Requests Packed**: BU does not send one request at a time but packs multiple requests into one request. The total number of requests are sent as part of the request data.
- **Request type**: 0 means event id request, 1 means releasing an event id and requesting another, and 2 means releasing an event id.
- **Event Id**: Event id to be released. If requesting an id, this is not need to be set.
• Event Number: Event number that is associated with the released event id. If requesting an id, this is not need to be set.

• Resource Id: The resource id of the BU that is making the request.

EVM receives the request from the BU and acts on it. As a result, EVM sends out the $bu_{confirm}$ event to the requesting BU. EVM sends the following data along with the event:

• $evmAddress$: The IP address of the computer that the EVM is running on

• $evmId$: Unique identifier of the source application, EVM

• $buId$: Unique identifier of the destination application, BU

• $event$: Name of the event which is $bu_{confirm}$ in this case

• $data$: The confirmation message to BU

In order to for the confirmation data, EVM sets the following parameters:

• Event Number ($eventNumber$): Event number assigned to BU

• Number of Blocks In super-fragment ($nbBlocksInSuperFragment$): Number of blocks that make up the sfragment

• Block Number ($blockNb$): The position of the current block in the sfragment. It is set as 0 at this time.
• **Event Id (eventId):** Event id that is assigned to the BU.

• **Super-fragment Number (superFragmentNb):** The number of the super-fragment in the block. Set as 0 for the first set of data.

EVM and RU has a one way interface. Figure 42 shows the interaction between EVM and RU.

EVM sends RUs the *readout* event. The message format of the communication between EVM and RU is as follows:

```
address#sourceId#destinationId#event#data
```

EVM sends the following data along with the event:

• **Number of Elements Packed:** Total number of read out requests that is packed. EVM doesn’t send events one by one. Multiple read out requests are sent.
Figure 43: BU-RU Interface Diagram

- **Event Id**: Event id to be read out from the data generator.
- **Event Number**: Event number of the data that is read out from the data generator.

BU-RU Interface

BU and RU has a two way interface. Figure 43 shows the interaction between BU and RU.

The message format of the communication is as follows:

```
address#sourceId#destinationId#event#data
```

BU sends RU the `ru_send` event. BU sends the following data along with the event:

- **Event Id**: Event id of the event that BU is requesting
- **Event Number**: Event number of the event that BU is requesting
• **BU Resource Id**: Resource id of the BU that is going to build the event

• **BU Id**: Unique identifier of BU that is requesting the event data

RU sends BU the "bu_cache" event. RU sends the following data along with the event:

• **Block Number**: The current block number of the event data in the data chain

• **BU Resource Id**: Resource id of the BU that is going to build the event

• **Event Id**: Event id of the event that BU is requesting

• **Event Number**: Event number of the event that BU is requesting

• **Number of Blocks in Super-fragment**: Total number of blocks that make up the s-fragment

• **Super-fragment Number**: The current s-fragment number in the s-fragment chain

**Application-Executive-PT Interface**

The Executive has one way interface with all the applications. Figure 44 shows the interaction between applications, executive, and peer transport.
Since applications need to go through the Executive to pass data to other applications, the above mentioned communication should go through the Executive.

Executive sends PT the `send` event. The message format is the same as given above since Executive does not need any extra information and transmit the data without making any modifications to it. Executive sends the data received from the application along with the event.

PT has one way interface with the the applications. PT sends the destination application the original event that is being transmitted between the applications. PT does not also make any modifications to the data being transmitted.

Application-Processor Interface

Processor has two-way interface with all the applications. All applications go through the Processor for scheduling processing time. Figure 45 shows the interaction between applications and the Processor.
Applications send the Processor the \textit{schedule} event when they request scheduling. Applications send the requested amount of time along with the event.

Processor sends the requesting application the \textit{run} event to notify it to start running. Processor does not pass any data along with the event.

Processor sends the scheduled application the \textit{ret} event to notify that the time is up and the application should return. Processor does not pass any data along with the event.

\textbf{Test Generation from TSDML Models}

In Chapter II, a description of Test Series Definition Modeling Language (TSDML) and how different components of the system under test can be modeled. This section will give an example implementation of TSDML for test generation and provide more details on the process which was shown in Figure 13 in Chapter II.

Test generation from TSDML models is a crucial part of the approach. One important challenge for performance testing was mentioned in Chapter
II as the need to create many configurations to configure functional operation of system components. This challenge is addressed in test generation from models. Before diving into the implementation details, it’s important to point to some important principles at work in this approach.

The problem of automatically generating many test cases from TSDML models that this approach is tackling is multiple folds. If there were no graphical tools available for a system designer to design test cases, the solution for the designer would be crafting various test cases, usually in XML, by hand. This operation would probably involve many copy and paste operations and the amount and quality of the test cases would largely depend on the amount of time that the designer could afford for testing. Moreover, the quality of test cases could suffer because most of designer’s effort would go into actually producing the test cases than actually thinking about the efficiency of them. There could definitely be very good manually created test cases but the error proneness of the process could hinder the effort.

Introduction of a graphical modeling tool to replace manually writing XML test cases, as done in the approach described here, is a big improvement over the manual effort. The process is less error prone and potentially faster compared to typing and the representation is more readable than an XML file. However, merely using a graphical modeling tool does not solve the problem completely. It solves the problem of generating many XML test cases from the high level representation. On the other hand, it carries some of the problems associated with the manual effort to the graphical medium. For
example, copying and pasting of lines of text in an XML file is replaced with copying and pasting of boxes on a graphical surface. The system designer should still take care of creating as many boxes as she needs and configuring each box which represents an application with its parameters. Moreover, there is now an even more tedious task of connecting many boxes to each other correctly. A typical test scenario for a distributed middleware based system is testing how the system reacts to changes in size. The system designer would most probably like to create many applications on the design surface, connect them up and test to observe how the system would scale. Dragging and dropping boxes on a graphical surface does not make the life of the system designer easier than a manual effort.

The problem now becomes not only how to generate many test cases at once but also how to generate many variations of a test case from a single model of a test. If the goal is to design a test model to test for scaling the system, there has to be a way to achieve this from a single model instead of creating one test model for every size of the system that is desired to be tested. approach described in this thesis attempts to solve this problem by parameterizing the test models and view them as "series of tests" thus the so called "Test Series Definitions".

The main premise of the solution is the use of the modeling constructs called Rerators, Replicators, Connectors and Sweepers. These constructs enable parameterization of a test model and effectively turn it into a test series definition. Details of these modeling constructs were given in Chapter
II. Each and combination of these constructs attempt to solve the parts and whole of the problem mentioned above. Sweepers are used to vary parameter values. Connectors are used to define rules to determine which instances of applications will be connected to each other, Replicators are used to replicate the model elements, usually applications, as many as desired. Iterators are used to drive the generation of many test cases from a single test series definition.

In the following sections, usage of these constructs along with other modeling elements will be demonstrated as the approach is implement on the CMS DAQ System.

Constructing a Test Series Definition

In order to demonstrate an example TSDML model, construction of a test series definition for generating several test cases to experiment with different event sizes will be described from the ground up.

Application Types

In order to construct a test series definition, applications that will be used in the definition should be created in the Type Library. Applications that are required for the test system are EVM, BU, RU and PT. The entities that are required to model an application type is already described in Section II of Chapter II.
Parameters of application types that are used in application type models come from [28]. Some changes to the organizations of these parameters where appropriate to help with test generation. All application types have bi-directional communication ports to all other applications. In addition, application types include positive and negative probes as well. Figure 46 shows the modeling of EVM application as an application type.

It’s important to note that application types are modeled without any parameter values. This way, when an application type is used (instantiated)
in a test series definition it can be specialized by changing its parameter values. All other application models are similar to EVM.

**Test Series Definition**

The test series definition is the main part of the design as the name implies. There are three different aspects that need to be modeled to complete a test series definition so that test cases can be generated.

In a test series definition, several ways exist to generate test cases to experiment with the system in different ways. One way to generate different series of test cases is to change the structure of the system using replicators. This is done in the **Test Series Definition View**. The Test Series Definition view is where the applications that were defined in the application library are used. Applications from the type library are sub-typed so that values for application parameters can be manipulated as desired. In addition, this way, it is not possible to make changes to the application type, e.g. no parameter or port can be deleted. This is to make sure that the same application type is used in all test series definitions with only the desired parameter value changes.

Figure 47 shows how the Test Series Definition View looks. Sub-types of the applications already modeled in the type library are used in the test series definition. It can be seen from Figure 47 that there is an iterator connected to a replicator which is connected to applications RU and BU. This denotes that there will be as many test cases generated from this test series definition.
Figure 47: Test Series Definition View of a Test Series Definition with Replicators
Figure 48: Iterator and Replicator Values

as the value of the Iterator. Moreover, in each of these test cases, applications RU and BU will be replicated by the value of the replicator. In this specific example, in each test case the instances of RU and BU will be doubled. Figure 48 shows the values of the Iterator and the Replicator. It’s important to note that only the applications RU and BU will be replicated in generated test cases since the Replicator is only attached to these applications.

In the replication process, in addition to application instances, connections between applications need to be replicated as well. For this purpose, several Connectors are used to connect the applications on their bi-directional ports. In this test series definition, all applications are connected to each other. As can be seen in Figure 49, the Connector between applications RU
Figure 49: Connection Rule is 1: All instances are connected and BU is called RU-BU and its connection rule is set to "1". This means that all instances of RU and BU in generated test cases are connected to each other. The connection rules of connectors RU-PT, RU-EVM, BU-PT, BU-EVM, and EVM-PT are also set to "1". However, since the Replicator is not connected to applications PT, EVM, and BU there will always be only one instance of these applications, which are connected to each other, in all generated test cases. Another example of setting different connection rules was explained in Section II of Chapter II.

Another way to generate different series of test cases is to vary ("sweep") application parameter values for each generated test case. This can be done...
Figure 50: Sweeping Application Parameter Value
using a Sweeper. Sweeper is present in the Test Definition View of an application model in the Test Series Definition. Figure 50 shows usage of Sweeper to generate test cases with varying value for the \textit{RU\_SEND\_PACKING}. The Sweeper has a function to double the value of \textit{RU\_SEND\_PACKING}. Sweeper is also connected to a reference to the Iterator of the Test Series Definition which ensures that the value of the parameter will be doubled for each generated test case.

Similar to varying application parameter values, Sweeper can also be used to vary parameters of the Input Generator of the Test Series Definition. This is especially useful to experiment with varying event sizes. Figure 51 demonstrates how this is done. As can be seen in the figure, for each generated test case, mean of event size will be increased by 4 megabits.

Also in the same figure, the RandomDistribution entity can be seen. Input Generator will create random event sizes with the selected random distribution type.

So far a test series definition is defined from the Test Series Definition View which enabled creating variations on the system structure and behavior to generate series of test cases. Another aspect of creating a test series definition is deployment. The \textit{Deployment View} enables deploying the system. In order to start a deploying the applications, a Node in Resource Library needs to be modeled. Figure 52 shows a simple model of a node in the resource library.
Figure 51: Sweeping Event Size in Input Generator
Figure 52: Model of a Node in Resource Library
Figure 53 shows a possible deployment for the test series definition under construction. The deployment view has a reference to the node that is created in the resource library. All the applications that were modeled in the Test Series Definition view are also visible in Deployment View and they are all connected to the Executive. The Executive represents the middleware layer which applications need to be deployed on in order to operate. The Executive also needs to be deployed on a node through a port on which it will run. As can be seen in Figure 53, the Executive is connected to the Port which is connected to the NIC of Node1. It’s important to point out that Port is a logical entity and models the endpoint which will be available to run the Executive on Node1.

Test Series Definition View and Deployment View covered the behavioral/configuration and deployment aspects of the test series definition. **Performance View** is where the performance related aspects are added. The main entity in the Performance View is the Performance Probe. Figure 54 shows how performance probes are connected to indicate measurement points.

In this specific example, one performance probe is connected to a negative probe end of application RU and the positive probe end on the application BU. This denotes that a performance measurement for a selected metric will be made between the output of RU and the input of BU. Another performance probe is connected between the negative probe end of application BU and positive probe end of application EVM. This denotes that a performance
Figure 53: Deployment View of Test Series Definition
Figure 54: Performance View of Test Series Definition
measurement for a selected metric will be made between the output of the application BU and the output of EVM. Figure 55 shows a more through use of performance probes.

Test Case Generation

Application simulation models and TSDML models are created. These models represent the behavioral, structural, and performance aspects of the system under test under the described abstractions. As described throughout
this thesis, TSDML models will be used for generating series of test cases to be executed on the DEVS simulation engine.

Test cases that will be generated from test series definitions in TSDML are XML configuration files that will configure the simulation engine with the information captured in the test series definitions. Figure 56 shows the process of test case generation. The XML configurations generated from test test series definition need to be fed into the simulation engine.

The interaction between test cases and the simulation engine requires a test case format that can be read by the simulation engine. For this purpose, a schema for XML test cases were created. Figure 57 shows the test schema of the test cases that will be generated by the test series definition TSDML
and read by the simulation engine. As can be seen in the figure there are five main tags which collect the information in the model:

1. `<test>`: the root of the test case.

2. `<test_case>`: captures the information about the test case that will guide saving results to a database.

3. `<input_generator>`: configures the input generator. This tag contains the parameters of the Input Generator. There is a one-to-many relationship between the input generator and contained parameters.

4. `<deployment>`: captures the information modeled in the Deployment View of test series definition model. Deployment contains a cpu which in turn contains the executive and which contains the deployed applications. There is one-to-many relationship among all contained elements.

5. `<dataflow>`: captures the connection information of the applications in the test series definition.

6. `<performance>`: captures the performance probes modeled in the Performance View of test series definition model. There is a one-to-many relationship between performance tag and contained probes.
Figure 57: Test Case Schema
Test Execution

In the context of the approach described here, execution of test cases means running a DEVS simulation using DEVS models configured by the test cases generated from the test series definition TSDML model.

Figure 58 shows the complete process of test generation and execution. Test Execution Engine seen in the figure is responsible for orchestrating the execution of all the generated test cases one by one on the simulation framework. It does not do any processing or manipulation on the test case format. Any single test case can directly be run on the simulation framework without passing through the Test Execution Engine.

The input to the DEVS Framework is the generated test case whose format was described in the previous subsection. Upon receiving a test case, behavioral DEVS models are configured with the information captured in the test case. In addition to the behavioral DEVS models, Input Generator and Performance Monitor components are also configured with the input test case. At the end of each run, Performance Monitor stores the performance results in the database. The following list shows the mapping from the test case to the DEVS framework:

- `<test_case>`: configures and initializes the database
- `<deployment>`: configures how application DEVS models are deployed in the middleware (Executive) application DEVS model
• **<dataflow>**: configures a coupled DEVS model by connecting the atomic DEVS models

• **<performance>**: configures performance monitor with the desired measurement points

**Results, Analysis and Performance Engineering**

In this section, some features of the described approach will be demonstrated by some experiments. The focus will be on analysis of the results and performance engineering. It is important to be able to feed the results back into the system as design decisions. A system designer would want
to test the system by varying certain parameters and observe the reaction of the system. This section will demonstrate how this can be done using the implementation of the approach described in the earlier sections of this chapter.

The main metrics used for performance calculations are throughput and latency. In following results, throughput and latency are calculated as follows:

\[
\text{Throughput} = \frac{\text{Total Built Event Size}}{\text{Time to Build Event}}
\]

\[
\text{Latency} = \frac{\text{Time to Build Event}}{\text{Total Number of Events Built}}
\]

The above metrics can be calculated for the whole system, an application or between applications. The following different experiments are given to demonstrate some possible ways to explore performance of the system.

**Varying Event Size** An experiment was setup to observe the effect of event size variation on the system performance metrics. Average event size was varied while keeping the structure of the system the same. This way, it will be possible to observe how a larger system copes with increasing average event size. For this purpose, several TSDML models with different system structure configurations were created. Figure 59 shows how event size mean is varied using TSDML.

Event Size Mean is attached to the Test Iterator with a Sweeper whose value is set to \( src \times 15000 \). The test iterator is set to \( 1 : 1 : 50 \) which means
there will be 50 test cases. Figure 60 shows the change in event size and, Figure 61 shows the change in system throughput and latency with respect to change in event size.

It can be observed from the trends of throughput and latency in Figure 61 that both system throughput and latency is increasing as the size of events fed into the system are increased. This is expected for throughput because total event size is increasing by every test case. The increase is also expected for latency since it is taking more time to build an event while the total number of events build was held constant.

Figure 61 also shows the effect of increasing the system structure on throughput and latency. For a 2-by-2 system, system throughput was decreased and system latency was increased compared to the 1-by-1 system. A 2 by 2 system means that there are 2 RUs and 2 BUs that participate in event building. This trend in throughput and latency means that time to
build an event is increased compared to the 1-by-1 system since total built event size did not significantly change between two system structures as can be observed in Figure 60.

Event size experiments provide valuable information about the system for the system designer. She learns that increasing system structure caused a decrease in throughput and increase in latency. These are undesired results. However, at this point it’s obvious that increasing system structure to cope with increasing event size is not enough.

**Varying Communication Parameters** An important communication parameter is RU\_SEND\_PACKING which determines how many data requests can be transferred at once from BU to RU. This parameter in a way
Figure 61: Throughput vs Event Size
Figure 62: Variation in Number of Events

simulates the bandwidth between BU and RU and has potential effect on performance. In order to observe how `RU_SEND_PACKING` parameter would effect the system, total number of events to be build by the system was varied while keeping the `RU_SEND_PACKING` parameter constant. This can be achieved by using a sweeper to vary number of events fed into the system in a TSDML model. Several of these models can be created with different `RU_SEND_PACKING` parameter values. For this experiment three different parameter values were tested. If the experiment is designed this way, test generation creates several test cases that span all desired configurations.

Figure 62 shows how the number of events were varied for each test and Figure 63 shows the system throughput and latency for each set of experiment.
Figure 63: Throughput and Latency vs Number of Events
**RU_SEND_PACKING** parameter controls how much data will be transferred at once. It can be observed from Figure 63 that as this parameter is varied from 2 to 8, system throughput is affected very little. The lowest value for the throughput is hit when the **RU_SEND_PACKING** parameter is the lowest. This is expected because when **RU_SEND_PACKING**=2, BU sends event requests in packets of 2 because of the limited bandwidth. In this scenario, the total time to build events is increased since most of the time is spent for back and forth communication between BU and RU. Since the average event size is kept constant for this experiment, value of throughput is low.

It can also be observed from Figure 63 that throughput is increased very slightly when the value of **RU_SEND_PACKING** parameter is changed to 4 and 8. It is interesting to note that there was not a significant throughput gain between **RU_SEND_PACKING** = 4 and **RU_SEND_PACKING** =8.

Another effect of the experiment on the system throughput is evident from its exponentially decreasing trend as the number of events is increased in each test. This is an expected trend since as more events are pushed into the system time to build the events significantly increased. However, it’s interesting to note that the effect of **RU_SEND_PACKING** is diminished.

Variations in **RU_SEND_PACKING** parameter also effect the system latency as seen from Figure 63. The highest value for latency is hit when parameter value is the lowest. As the **RU_SEND_PACKING** is increased from 2 to 8, the system latency decreased very slightly. It can be said that
RU_SEND_PACKING parameter did not affect system latency in a significant way. Latency trend is upwards since total time to build events is increased by each test since the number events were fed into the system is increased. It is also observed that there was not a significant latency gain between $RU\_SEND\_PACKING = 4$ and $RU\_SEND\_PACKING = 8$.

$RU\_SEND\_PACKING$ parameter plays a role in communication between BU and RU as stated earlier. For this reason, it may also be interesting to investigate the change in throughput and latency of BU with respect to the variations in this parameter. Figure 64 shows BU throughput and latency for all different values of the $RU\_SEND\_PACKING$ parameter. It can be observed that BU throughput increased as $RU\_SEND\_PACKING$ is increased. On the other hand, BU latency was affected very significantly in response to the increase in $RU\_SEND\_PACKING$ parameter. In addition to decreasing to very low values, BU latency also shows stabilization against increasing number of events.

Experiments with $RU\_SEND\_PACKING$ parameter provides valuable information to the system designer. Based on these results, she can choose to tune the system so that the parameter is set to at least 4. On the other hand, based on performance requirements, she may choose to experiment in a similar manner by feeding more events into the system and varying the parameter value above 8.
Another communication parameter is \textit{RU\_READOUT\_PACKING}. A similar experiment was conducted to see the effect of this parameter on performance. Similar results were obtained but as a different observation, it’s worthwhile to investigate the impact on RU latency. Figure 65. As expected RU latency is at its highest level when \textit{RU\_READOUT\_PACKING} is lowest. When \textit{RU\_READOUT\_PACKING} is increased, a significant decrease in RU latency when \textit{RU\_READOUT\_PACKING} is observed.

\textbf{Comparison to Related Work}

There are many performance analysis and performance testing approaches in the literature. In this section, a brief discussion on the differences of the approach described in Chapter II and implemented in this chapter to some important work in the literature.
In [9], Liu and Gorton target the problem of predicting the performance of a large-scale enterprise system before the implementation of the system. They use an empirical approach to generate product-specific performance profiles that help with prediction of performance of middleware technologies such as CORBA, COM+ and J2EE. They focus on designing a test suite characterizing the behavior and performance profile of a J2EE application server product. In their approach, Liu and Gorton focus on testing the middleware in an isolated manner and do not concentrate on complex interactions among applications and the middleware. The notion of a test case for Liu and Gorton is a an application called “identity application” which is a very basic application that will run on the middleware platform. It is a basic application whose only methods are read and write which are responsible for reading and incrementing the value field in a single table relational database,
respectively. They use such an application in order to be able to test the middleware platform independently from the application running on top of it and focus on observing how it performs. It’s their claim that the test case can be extended.

This work differs in the sense that Liu and Gorton do not model any performance characteristics of the middleware technology but rather depend on pre-defined scenarios based on the known performance concerns of the middleware platform. They do not mention any automation while constructing the test case which will potentially become very cumbersome as the number of variations in architectural choices and performance parameters increase. In their work, the goal is to test the middleware technology in isolation. For this reason they do not look into the effects of interactions of application components with the platform on the performance. Since they do not use the middleware in the context of a system with various applications and capture the performance characteristics of the whole system, they are not able to observe how the middleware performs when deployed with many applications.

In [30], Grundy et al. tackle the problem of determining performance of complex distributed system architectures during the development time. Their motivation comes from the need of a software architect to choose an architecture and middleware platform for the distributed system in order to meet performance requirements of the design. They recognize that these decisions come from the knowledge and previous experience of the designer.
They present a way and an integrated tool which enables the designer to sketch a high-level description of the system and generates an executable test bed which can be deployed on multiple client and server hosts.

In their approach, Grundy et al. model the system at the architectural level and provide an architecture design modeling language called SoftArch [30]. The metamodel for SoftArch provides the abstractions to model client, server, database and host elements along with expected client, server and database services. Properties such as request quantity and frequency, complexity of database tables are requests, middleware protocol are also specified. From this high-level descriptions, an executable test code is generated and uploaded to the host machines.

This approach comes closer to the approach described in this thesis in the sense that there is a model of the system from the architecture level and test cases are automatically generated from these models. Grundy et al. mention that “SoftArch client and server code annotations are usually used to capture performance measures” but there is not an explicit description of how the annotations are made and what is captured in those annotations. Moreover, since their metamodel is from architecture level, they do not capture any data flow and performance aspects of the system. Furthermore, they do not model an entire system using the middleware in the architecture they specify. For this reason, they are not able to determine the effect of interaction between applications and middleware, and various configurations of applications on the performance of the system. It is understood for their descriptions that the
goal of the test is to benchmark the middleware platform whose architectural
description is sketched.

In [10], Denaro et al. present an approach to generate application specific
test cases from architecture designs to test the performance of the distributed
application. They relate their work to [9] in terms of adding the notion of
application specificity to the performance evaluation of middleware systems.
Denaro et al. claim that their approach can be useful for selecting the best
middleware platform for a specific application, for selecting components off-
the-shelf (COTS) by enabling testing of COTS in the context of specific
applications and finally, for utilizing an iterative and incremental develop-
ment strategy where architectural design choices may be improved depending
on the performance testing results in each iteration.

In their approach, similar to the approach described in this thesis, Denaro
et al. automatically generate test cases from high level models. However, the
main goal is very different. In [10], the goal is to do performance testing to
select a middleware which would meet the requirements of the system at the
early stages of development. There is not goal of exploring the performance of
the system with the selected middleware and when applications are deployed
on top of that middleware.

In general, it is observed from the literature that the main goal is usually
to test middleware in isolation from the applications and the overall system.
There is generally a need to spent some effort to test whether a specific
middleware will provide the performance required for the system. This is a
valid concern and an area to do performance testing. However, many of the approaches do not go several steps further and attempt to model a whole distributed middleware based system from performance perspective and try to explore the performance of the system based on various performance characteristics of systems components.

Furthermore, in model based performance testing approaches given in this section, there is a need to model solely from performance point of view. On the other hand, the approach described in this thesis, approaches modeling the system from a more general model based development perspective and supplementing the models with performance characteristics of the components. If the goal is to just use another middleware to observe how it will perform, it’s sufficient to plug in the model of that middleware at the desired level of detail to observe the changes in the system performance. Using this approach, the systems designer does not need to separate the concerns of functional behavior and performance and is not forced to come up with a separate performance model at the different level of detail using different modeling constructs. Moreover, it’s possible to use this approach from the beginning phase of development and incrementally improve the structure and behavior models and never lose track of the performance record of the system.

Finally, it can be said that the approach described in this thesis, makes an important contribution with the parameterized (iterators, replicators, connectors and sweepers) and hybrid (structural DSML models, and behavioral
DEVS models) modeling and test generation and execution approach introduced.

Summary

In this chapter, implementation of the approach described in Chapter II was described. Details of the system under test and application simulation models were presented. It was shown that it’s possible to generate several test cases from a single TSDML model for the CMS DAQ system.

Performance testing approach presented in this chapter focused on getting performance information from a system during simulation run time and applying that information to the system during design time. The implementation allowed investigating the impact of certain system parameters on the system performance. The goal was not to come up with very realistic performance numbers for the system under test. The goal was rather to demonstrate that it’s possible to perform performance engineering on a system using the model based approach described in Chapter II.
CHAPTER VI

CONCLUSION AND FUTURE WORK

A model based method to help build a distributed middleware based system, capture its performance characteristics and perform performance testing and/or performance engineering on it was introduced. The method consisted of creating a domain specific modeling language for capturing the structure and performance characteristics of the system, and creating a discrete event based model to capture the behavior of the system. In order to model the structure and performance aspect of the system, Model Integrated Computing (MIC) [7] and to model the behavior of the system Open DEVS modeling formalism was used [2].

The methodology described in this thesis was applied to a high energy physics system called CMS XDAQ and results from performance tests were presented. Although the implementation was demonstrated on a physics system, the method is general for distributed middleware based systems. One way this is ensured is that the modeling approach consisted of a domain specific modeling language for the domain of distributed middleware based systems. As a part of this domain specific modeling language, modeling constructs that are common to general set of distributed middleware based systems. For example, all systems in this domain involve data flow and deployment considerations and generic constructs for modeling such aspects of the domain are provided. Furthermore, the domain specific modeling
language, called Test Series Definition Modeling Language, is not coupled with the physics system that the implementation was demonstrated on.

Moreover, for modeling behavior of the system, the modeling and analysis formalism for discrete event systems called Discrete Event System Specification (DEVS) was used. Systems in the domain of distributed middleware based systems can be described using this formalism independent of the implementation described in this thesis.

**Future Work**

The approach described in this thesis can be improved in the future in various ways. One obvious improvement would be to add more detail to the domain specific modeling language to capture more details of the system. For example, for the data flow aspect of the system, more details about the networking aspect of application communication can be captured connections between objects can be moved to different network lines. Similarly, a detailed network switching system can be modeled to distribute the data coming in to the system to different applications.

An interesting improvement can be made in the test design cycle of the process. Even though the process of generating test cases are automated, and a generative modeling approach is used to iterate over and replicate certain elements of the system is possible, design of the actual experiment is a manual effort. This effort requires deep knowledge of the system to be
designed. However, since design is an iterative process and new information towards a solution is typically gathered in each step of the process, it may not be always easy to come up with good tests and experiments. In order to cope with that and make the process more intelligent, a system which would take as input from the designer potential problem areas of the system and which would know how to exercise those areas by itself would be very helpful. This type of a system can be more precise at determining performance bottlenecks early on.

Moreover, if an exploration engine can be built which would analyze system models and figure out potential problem areas and guide the designer to those areas for more thorough testing and analysis. Implementation of such an engine may require considerable amount of historical data from a range of systems in the distributed middleware based systems domain.

Finally, another interesting improvement can be achieved in visualizing results for make the performance engineering cycle of the process a bit easier. One of the main premises of the approach is to be able to feed the analysis of the performance data back in to the design of the system. It may be possible to capture performance goals/requirements captured along side the system models, and visual queues may be presented to the user in the areas where results fell out of the required performance goals.


[26] Cms technical design report: Data acquisition and high-level trigger, December 2002.


